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PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1976  
EDWARD B. EVANS 1936-1971

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October 14, 2004

**FACSIMILE COVER SHEET**

To: Dexter Morton  
Board of Appeals  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450

Fax: 571-273-0043

Enclosures:

Fax Cover Sheet containing Certificate of Facsimile  
Transmission (1 page)  
Copy of Auto-Reply Facsimile Transmission of  
September 28, 2004 Transmission Confirming  
Receipt by the USPTO of 20 pages (1 page)  
Copies of Papers Faxed on September 28, 2004,  
including:  
Fax Cover Sheet containing Certificate of Facsimile  
Transmission (1 page)  
Transmittal containing Certificate of Facsimile  
Transmission (2 pages)  
Amendment and Response (17 pages)

From: Scott A. Stinebruner  
Reg. No. 38,323

Re: U.S. Patent Application  
Serial No. 09/356,241  
(Appeal No. 2003-0706)  
Filed: July 16, 1999  
Applicant: Cary Lee Bates et al.  
Art Unit: 2172  
Confirmation No.: 7560  
Our Ref: IBM/96

Pages: 22 (including cover sheet)

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OCT 14 2004

**MESSAGE/COMMENTS  
OFFICIAL**

Per your request in our telephone conference today regarding your incomplete receipt of the papers  
filed on September 28, 2004.

*Judith L. Volk*  
Judith L. Volk  
October 14, 2004

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence and the enclosures noted herein (22 total pages, including cover sheet)  
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*Judith L. Volk*  
Judith L. Volk

*October 14, 2004*  
Date

## Auto-Reply Facsimile Transmission



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EDWARD B. EVANS 1826-1871

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September 28, 2004

## FACSIMILE COVER SHEET

To: Examiner Cam-Y Truong  
Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450

From: Scott A. Stinebruner  
Reg. No. 38,323

Re: U.S. Patent Application  
Serial No. 09/356,241  
(Appeal No. 2003-0706)  
Filed: July 16, 1999  
Applicant: Cary Lee Bates et al.  
Art Unit: 2172  
Confirmation No.: 7560  
Our Ref: IBM/96

Fax: 703-872-9306

Enclosures:  
Fax Cover Sheet containing Certificates of  
Facsimile Transmission (1 page)  
Transmittal containing Certificate of  
Facsimile Transmission (2 pages)  
Amendment and Response (17 pages)

Pages: 20 (including cover sheet)

MESSAGE/COMMENTS  
OFFICIAL

## CERTIFICATE OF FACSIMILE TRANSMISSION

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Judith L. Volk  
Judith L. Volk

September 28, 2004  
Date

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**PATENT**Att'y Docket No. IBM/96/124  
Confirmation No. 7560**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence and the enclosures noted herein (20 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Cam-Y Truong, Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-872-9306 on September 28, 2004.

*Judith L. Volk*  
Judith L. Volk

*September 28, 2004*  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Cary Lee Bates et al. Art Unit: 2172  
Serial No.: 09/356,241 (Appeal No. 2003-0706) Examiner: Cam-Y Truong  
Filed : July 16, 1999  
For : ORDERING OF DATABASE SEARCH RESULTS BASED ON USER  
FEEDBACK

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL**

1. ☒ Transmitted herewith is an Amendment and Response.
  2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
  - ☐ Enclosed is a verified statement to establish Small Entity status
  - ☒ Other than a Small Entity
3. The fee has been calculated as shown below:

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**CALCULATION OF FEES**

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	44	minus	47	0	\$18	\$0.00
Independent Claims	12	minus	12	0	\$86	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$290	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

- ☒ No additional fee for claims is required.

4. ☐ Attached is a check in the sum of \$\_\_\_\_\_ for additional claims.  
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 420.00	\$ 210.00
<input type="checkbox"/>	three months	\$ 950.00	\$ 475.00
<input type="checkbox"/>	four months	\$1,480.00	\$ 740.00
<input type="checkbox"/>	five months	\$2,010.00	\$1,005.00

Extension fee due with this request:

\$ \_\_\_\_\_

Method of Payment:

Check enclosed in the amount of \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid thereof of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$\_\_\_\_\_.

OR

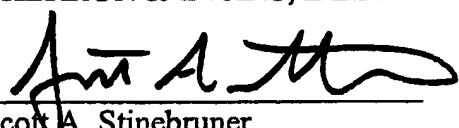
- ☒ (b) Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

6. ☒ If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:

  
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**Enclosed:**

Fax Cover Sheet containing Certificate of Facsimile Transmission (1 page)  
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 Amendment and Response (17 pages)

PATENT

IBM/96

Confirmation No. 7560**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Cary Lee Bates et al. Art Unit: 2172  
Serial No.: 09/356,241 (Appeal No. 2003-0706) Examiner: Cam-Y Truong  
Filed: July 16, 1999 Atty. Docket No.: IBM/96  
For: ORDERING OF DATABASE SEARCH RESULTS BASED ON USER  
FEEDBACK

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**AMENDMENT AND RESPONSE**

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reply to the Decision of the Board of Patent Appeals and Interferences mailed  
August 31, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on  
page 2 of this paper.

Remarks begin on page 17 of this paper.

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